

# Mathematics 3670: Computer Systems Introduction

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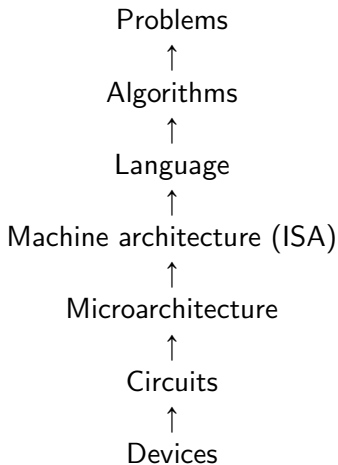
## Week 1: to do

<b>What</b>	<b>When</b>
Read Chapter 1	this week
Read Lab 1 handout	before Thursday
Determine Lab 1 Turing machine snapshots	before Thursday
Design Turing machine for halving	before Thursday
Complete Lab 1	this Thursday
Submit Lab 1 work	on/before next Thursday

# The big picture

- Complex systems can be organized as a hierarchy of **abstractions**
- Bottom level is most basic; upper levels appear most complex
- We will study this hierarchy from the **bottom up**
- Key idea: how is level  $N + 1$  implemented given level  $N$ ?
- Ultimate aim – understand how the top level is achieved:  
**no magic allowed!**

# A hierarchy



# Problem solving with computers

- Begin with a **problem statement**
  - What are the inputs?
  - What are the desired outputs?
  - What is the relationship between inputs and outputs?
- Design an **algorithm**, which will transform inputs to outputs
- How do we express the algorithm?  
Ultimately, we want the algorithm to become a well-defined pattern of electrons flowing within a physical computer

# A simple problem

- Input: A non-negative integer  $n$
- Output:  $n \bmod 3$

To design an algorithm for this problem, we need to know:

- what **primitive operations** are available
- what **abstraction level** is appropriate

Depending on the abstraction level, we may also need to be aware of **data representation**

# The Turing machine

- Proposed in 1936 by English mathematician Alan Turing
- Can be used to formalize the idea of algorithm
- Is simple to describe
- Like modern computers, operates at a very basic level: any one step within a computation doesn't do very much

# The Turing machine: basic ingredients

- A **tape**, divided into squares – infinite in both directions
- A **read/write head** which can inspect and change the contents of one square on the tape
- A **finite control unit** which remembers the “state”
- A set of **states** with one **initial state**
- A subset of states called **final states**
- A **finite table of actions** which controls how the machine makes one computational step



# Turing machine actions

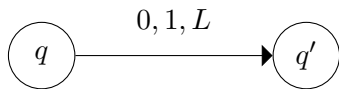
Any one action of the Turing machine is described by five components:

- current state
- current symbol
- symbol to write
- next state
- direction to move read/write head: left, right, stay

For example,  $(q, 0, 1, q', L)$  tells the machine “if in state  $q$  the read/write head is scanning the symbol  $0$ , then overwrite it with the symbol  $1$ , switch to state  $q'$  and move the read/write head one step to the left”

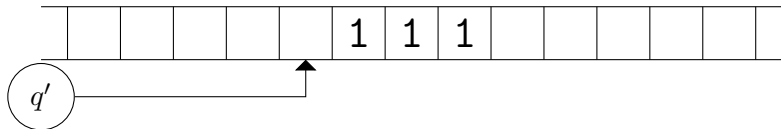
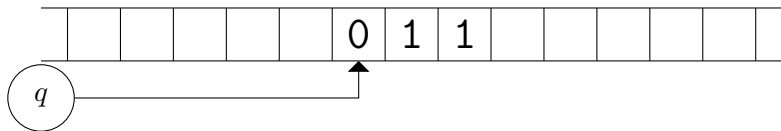
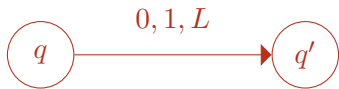
# Turing machine actions

The action  $(q, 0, 1, q', L)$  can be viewed as an edge in a directed graph:

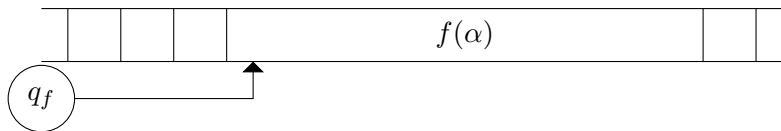
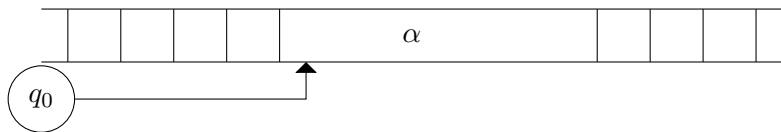


We can describe a Turing machine with a collection of actions like these, giving us a labeled, directed graph

# Turing machines: one computation step



# Computing functions with Turing machines

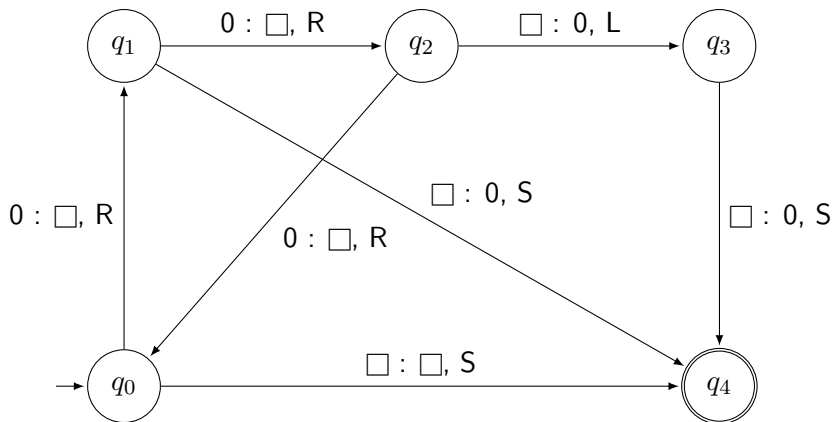


## Back to the mod 3 problem

- We will represent  $n$  in **unary**  
For input 35, place 35 consecutive 0's on the tape  
We want to end up with  $35 \bmod 3 = 2$ , i.e., 2 consecutive 0's
- Division by 3 can be accomplished by repeated subtraction
- Challenge: what states and transitions are needed?

Let's think about it...

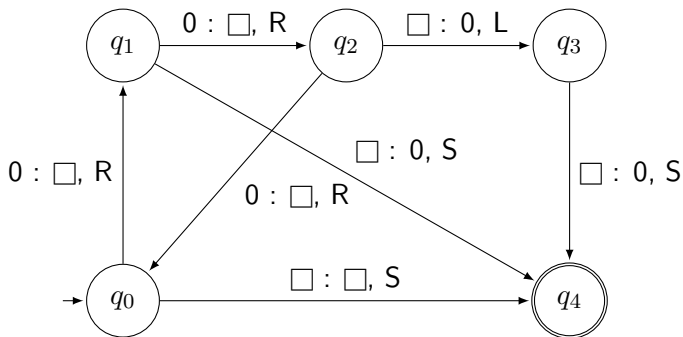
# A Turing machine solution for the mod 3 problem



# Simulating a Turing machine

Live demo of JFLAP

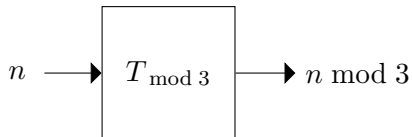
# Understanding the mod 3 Turing machine



- The  $q_0, q_1, q_2$  cycle subtracts 3 on each complete loop
- $q_0$  – so far, we have removed  $3t$  zeros
- $q_1$  – so far, we have removed  $3t + 1$  zeros
- $q_2$  – so far, we have removed  $3t + 2$  zeros
- $q_2 \rightarrow q_3 \rightarrow q_4$  writes 00, then halts
- $q_1 \rightarrow q_4$  writes 0, then halts
- $q_0 \rightarrow q_4$  writes  $\square$ , then halts



# Turing machines as black boxes

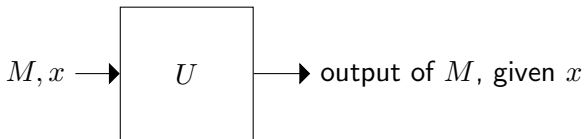


Black box view is an essential **abstraction**:

- Hides inessential detail
- Allows for understanding of “big picture”

# Universal computational device (Turing, 1936)

- $T_{\text{mod } 3}$  does one task and one task only
- If you want to perform some other task, you need a different machine
- Could we design **one** machine that can do the work of **any** other machine?
- Yes! This is the machine we call  $U$  — the **universal** machine



- $U$  simulates what  $M$  would do — a **programmable computer!**

# Turing's thesis

*If an algorithm exists for some problem, there is an equivalent Turing machine*

Turing's work provides a foundation for understanding the limits of computation — what is possible to compute and what is impossible to compute

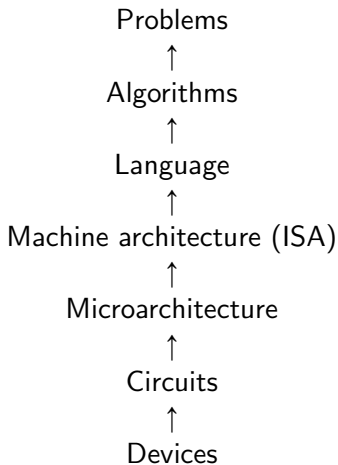
# Important idea #1 (textbook)

*All computers (big, small, fast, slow, ...) are capable of computing exactly the same things, given enough time and enough memory*

## Important idea #2 (textbook)

*Problems we wish to solve with a computer are stated in some natural language, such as English. Ultimately, these problems are solved by electrons running around inside the computer. To achieve this, a sequence of systematic transformations takes place. At the lowest levels, very simple tasks are being performed.*

# The hierarchy, revisited



# Problem statement

- Stated in a natural language, like English
- We must avoid any ambiguity
- Misunderstandings at this level will cause many issues later in the development, increasing development cost, delaying completion
- Obtaining an accurate specification of the problem is often difficult

# The algorithm: essential ingredients

- Some number of **inputs**
- Some number of **outputs**
- **Definiteness** property: each step must be precisely defined
- **Effectiveness** property: each step must be something that can be carried out by a person in a finite amount of time
- **Finiteness** property: the algorithm, when followed, must terminate after a finite number of steps



# Definiteness – you be the judge

- Suppose  $m$  and  $n$  are two arbitrary integers; positive, zero, or negative
- We are devising an algorithm that uses “integer” division, with quotient and remainder; one step is:

let  $k$  be the remainder of  $m \div n$

- For **definiteness**, we need a precise definition of the action
- Do we have that?

# Effectiveness – you be the judge

- Suppose we are devising an algorithm which uses floating point arithmetic; one step is:
  - if there are 7 consecutive 3's in the decimal expansion of  $\pi$   
then . . .
- For **effectiveness**, each step must be something that can be
  - basic enough to be carried out by a person
  - completed in a finite amount of time
- Do we have that?

# Finiteness – you be the judge

- Suppose we are computing with exact, rational arithmetic

*Let  $x$  be  $1/7$*

*Determine  $m$  and  $d_1, d_2, \dots, d_m$  so that*

$$0.d_1d_2 \dots d_m = x$$

- For **finiteness**, each step must terminate after some finite number of steps
- Do we have that?

# From algorithms to programs

- To implement an algorithm, we need a programming language
  - High level: Java, C++, C, COBOL, FORTRAN, Python, Perl, Prolog, etc.
  - Low level: Assembly language
- High level – machine independent
- Low level – tied to a specific architecture

# The ISA – Instruction Set Architecture

- Ultimately, programs are expressed as patterns of 0's and 1's: machine language
- **Translators** (compilers and assemblers) perform conversion, producing machine language from higher levels
- ISA specifies:
  - instruction set (what operations are possible?)
  - data types (e.g., integer vs. floating point, range and precision)
  - addressing modes (how is an operand located in the memory?)
- Typical ISAs: Intel x86, HP PA-RISC, Sun Sparc, ARM, Motorola 68k, etc.

- microarchitecture: implementation of an ISA
- To add  $x$  to  $y$  requires lower level details, register transfers, etc.
- There can be multiple implementations of an ISA

- Fundamental building blocks: AND, OR, NOT
- Very simple: one bit operands
- Use these building blocks to create ALUs, memories, etc.

- Technologies: CMOS, NMOS, GaAs, etc.
- Solid-state physics, electrical engineering